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November 2, 2000

Assistant Commissioner of Patents
Washington, D.C. 20231

RE: New U.S. Application for Letters Patent entitled
"BATHOPHENATHROLINE COMPOUND AND PROCESS FOR
PREPARING SAME"
Applicant(s): Tetsuo Shinanuma, et al.
Attorney Docket No. 09792909-4689

Dear Sir

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application,
including 10 claims (4 independent), 7 sheets of drawings (Figs. 1-9) and \$790.00 filing fee
on behalf of

TETSUO SHIBANUMA, YASUNORI KIJIMA, NOBUTOSHI ASAI
and SHINICHIRO TAMURA

and request that the application be assigned a serial number and filing date pursuant to the
provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL

By:

David R. Metzger

Enclosures

UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No.

097929

First Named Inventor or Application Identifier

Tetsuo Shibamura

Express Mail Label No: EL654782474US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ACCOMPANYING APPLICATION PARTS

1. ☒ Specification Total Pages 95

2. ☒ Drawing(s) (35USC 113) Total Pages 7

3. ☒ Declaration and Power of Attorney Total Pages 5

a. ☒ Unexecuted(original or copy)

b. ☐ Copy from prior application (37CFR 1.63(d))
(for continuation/divisional with Box 14 completed)

[Note Box 4 Below]

i. ☐ **DELETION OF INVENTOR(S)**

Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

4. ☐ Incorporation By Reference (usable if Box 3b is checked)
The entire disclosure of the prior application, from which

copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

5. ☐ Assignment Papers (cover sheet & documentation)

6. ☒ Letter under 37 CFR 1.41(c).

7. ☐ English Translation Document (if applicable)

8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

9. ☒ Preliminary Amendment

10. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

11. ☐ Small Entity ☐ Statement filed in prior Application, Status still proper and desired

12. ☒ Certified copy of Japanese priority applications Nos. P11-312071 filed November 2, 1999

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

CLAIMS AS FILED

| (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) BASIC FEE |
|---|------------------|------------------|----------|---------------|
| TOTAL CLAIMS 20 | 10 | | 18.00 | 0.00 |
| INDEPENDENT CLAIMS 03 | 4 | 1 | 80.00 | 80.00 |
| ANY MULTIPLE DEPENDENT CLAIMS? | | | | 0.00 |
| <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO | | | | |
| TOTAL FEE | | | | \$790.00 |

☒ The Commissioner is hereby authorized to charge the filing fee of \$373.00 and any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$790.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: David R. Metzger
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SIGNATURE

David R. Metzger (Reg. No. 32919)

Date: November 2, 2000

EXPRESS MAIL #EL654782474US

CERTIFICATE OF MAILING BY "EXPRESS MAIL"



"Express Mail" Mailing Label Number: EL654782474US

Date of Deposit: November 2, 2000

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Applicant(s): Tetsuo Shibamura, et al.

Attorney Docket No. 09792909-4689

\$790.00 Filing Fee (10 claims-4 independent)

Drawings (7 sheets - Figs. 1-9)

Unexecuted Declaration

Letter Under Rule 37

Preliminary Amendment

Certified copy of Japanese priority application No. P11-312071

Return postcard



Signature of Person Mailing Application and Fees